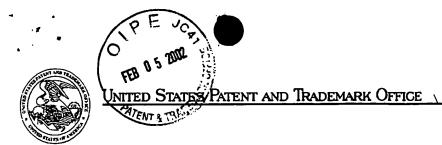
HH



Sector

| Carrie Carrie | | S&H Form: PTO/SB/17 (2/01) | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------|----------------------------|--|--|--|
| RESPONSE TO NOTICE TO FILE | Attorney Docket No. | 1293.1243 | | | |
| MISSING PARTS | Application Number | 09/994,928 | | | |
| | Filing Date | November 28, 2001 | | | |
| | First Named Inventor | Ki-hyun KIM | | | |
| | Group Art Unit | To be assigned | | | |
| Title: APPARATUS AND METHOD FOR | SIGNAL PROCESSING | | | | |
| Pursuant to 37 C.F.R. § 1.53(f) and in response to the U.S. Patent and Trademark Office Notice to File Missing Parts of Nonprovisional Application mailed <u>December 6, 2001</u> for the above-identified application, enclosed are the following: | | | | | |
| RETURN COPY of Notice to File Missing Parts of Nonprovisional Application | | | | | |
| Executed Combined Declaration/Power of Attorney executed by the inventor for completing the missing parts of the subject application. The undersigned registered attorney states that the subject application is the application which the inventor executed by signing the attached Declaration. | | | | | |
| Formal Drawings (Sheets; Figs) | | | | | |
| ☐ English-language translation of application (with Translator's Statement (pages)) | | | | | |
| ☐ Verified Statement Claiming Small Entity Status | | | | | |
| Enclosed is a payment of the following: | | | | | |
| ☐ The filing fee as set forth in 37 C.F.R. | \$ 740.00 | | | | |
| ☐ The additional claim(s) fee (4 claims of | \$ 72.00 | | | | |
| ∑ The additional independent claim(s) fee (4 claims over 3) \$336.0 | | | | | |
| ☐ Multiple dependent claims \$ ☐ Petition for Extension of Time (-month) \$ | | | | | |
| Petition for Extension of Time (month) | | | | | |
| ☐ English language translation fee | | | | | |
| ⊠ Surcharge as set forth in 37 C.F.R. § | \$130.00 | | | | |
| St | JBTOTAL FEES: | `\$1278.00 | | | |
| Reduction by 50% for filing by small e | ntity (37 CFR 1.27) | .00 | | | |
| SU | JBTOTAL FEES: | \$1278.00 | | | |
| то | TAL FEES DUE: | \$1278.00 | | | |
| | | | | | |

| Since the Notice to File Missing Parts of Nonprovisional Application set an <u>original</u> due date of, petition is hereby made for an extension to cover the date this reply is filed for which the requisite fee is enclosed (1 month (\$110)); (2 months (\$400)); (3 months (\$920)); (4 months (\$1,440)); (5 months (\$1,960)): | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------|----------|--------|--|--|
| METHOD OF PAYMENT | | | | | | |
| \boxtimes | Check enclosed as payment. | | | | | |
| | Charge "TOTAL FEES DUE" to the Deposit Account No. 19-3935, below. | | | | | |
| AUTHORIZATION | | | | | | |
| \boxtimes | If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees under 37 CFR 1.16 or 1.17 necessary to maintain pendency of the present application to: | | | | | |
| Deposit Account No.: 19-3935 | | | | | | |
| Deposit Account Name: STAAS & HALSEY LLP | | | | | | |
| SUBMITTED BY: STAAS & HALSEY LLP | | | | | | |
| Туре | d Name | Michael D. Stein | Reg. No. | 37,240 | | |
| Signa | ature | Micha DA | Date | 2/5/02 | | |



Page 1 of 1

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/994.928

11/28/2001

Ki-Hyun Kim

1293,1243

CONFIRMATION NO. 7271

FORMALITIES LETTER

OC000000007161483

21171 STAAS & HALSEY LLP 700 11TH STREET, NW SUITE 500 WASHINGTON, DC 20001

Date Mailed: 12/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/07/2002 BABRAHA1 00000136 09994928

740.00 OP 01 FC:101 02 FC:105 130.00 OP 03 FC:103 72.00 OP 04 FC:102 336.00 OP FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below. however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37
- Total additional claim fee(s) for this application is \$408.
 - \$72 for 4 total claims over 20.
 - \$336 for 4 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1278.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE